

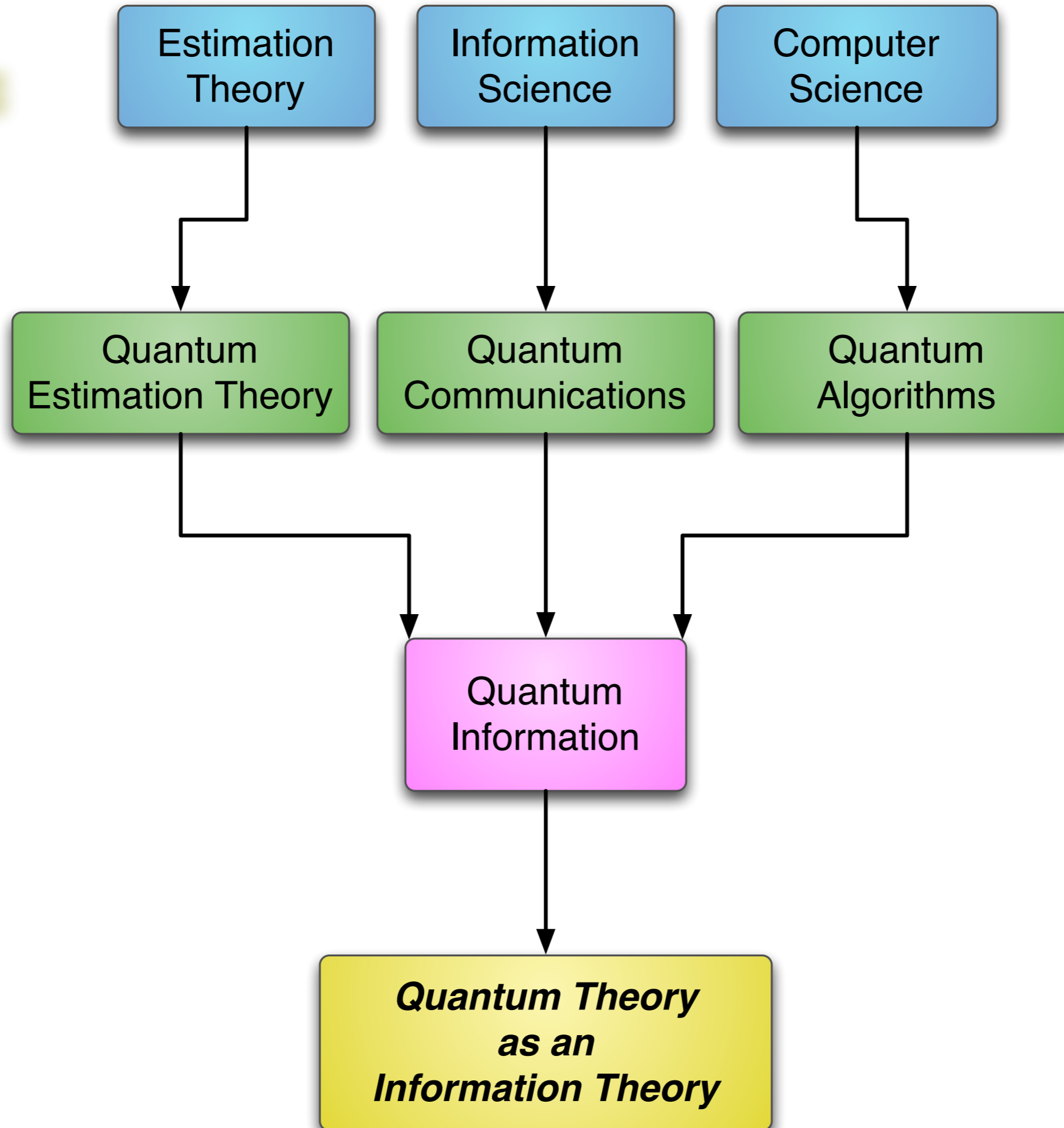
# La teoria quantistica è una teoria dell'informazione

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# Quantum Theory is an Information Theory

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 Selected for a [Viewpoint](#) in *Physics*  
PHYSICAL REVIEW A **84**, 012311 (2011)



## Informational derivation of quantum theory

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We derive quantum theory from purely informational principles. Five elementary axioms—causality, perfect distinguishability, ideal compression, local distinguishability, and pure conditioning—define a broad class of theories of information processing that can be regarded as standard. One postulate—purification—singles out quantum theory within this class.

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PACS number(s): 03.67.Ac, 03.65.Ta

## QUANTUM THEORY FROM FIRST PRINCIPLES



Giacomo M. D'Ariano, Giulio Chiribella, Paolo Perinotti

# Operational Probabilistic Theory

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The framework

Logic  $\subset$  Probability  $\subset$  OPT

joint probabilities + connectivity

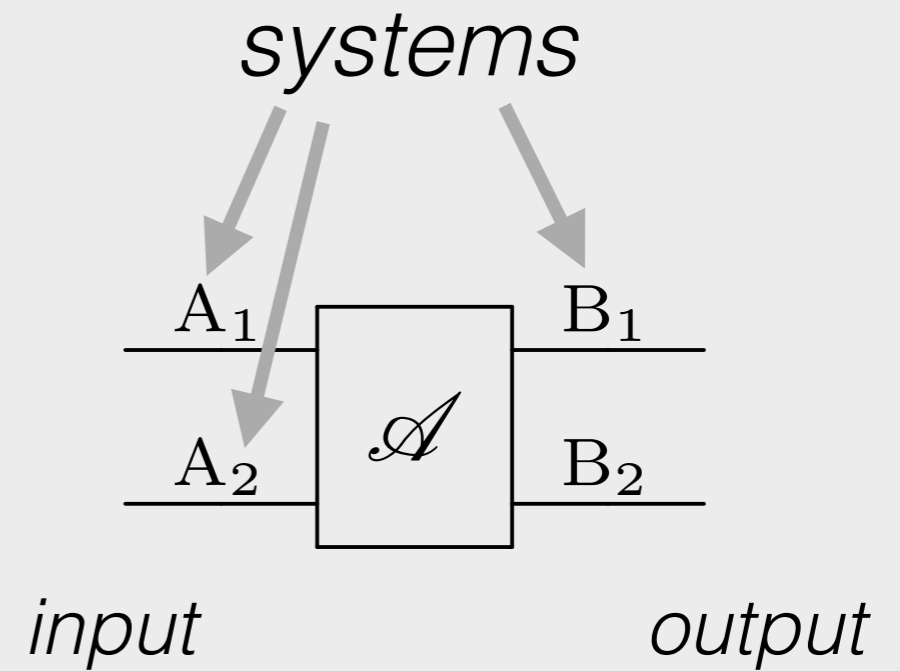
$$p(i, j, k, \dots | \text{circuit})$$

Marginal probability

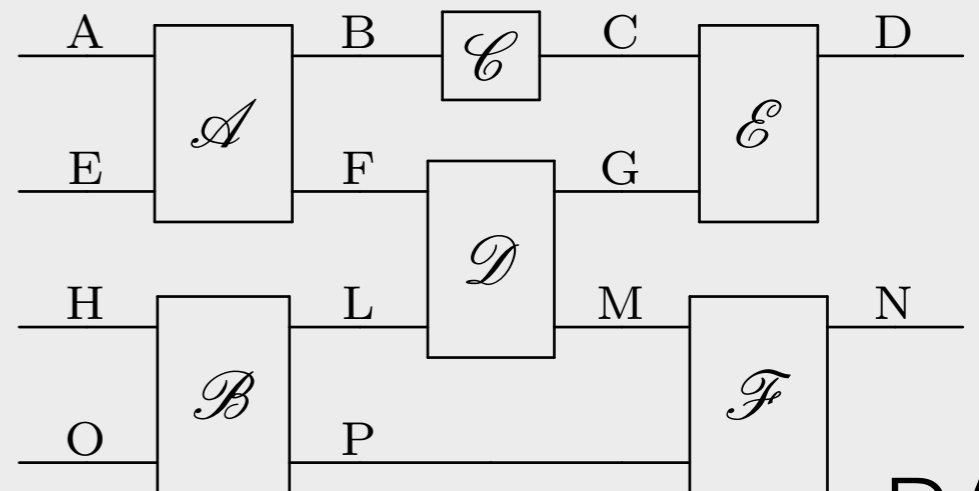
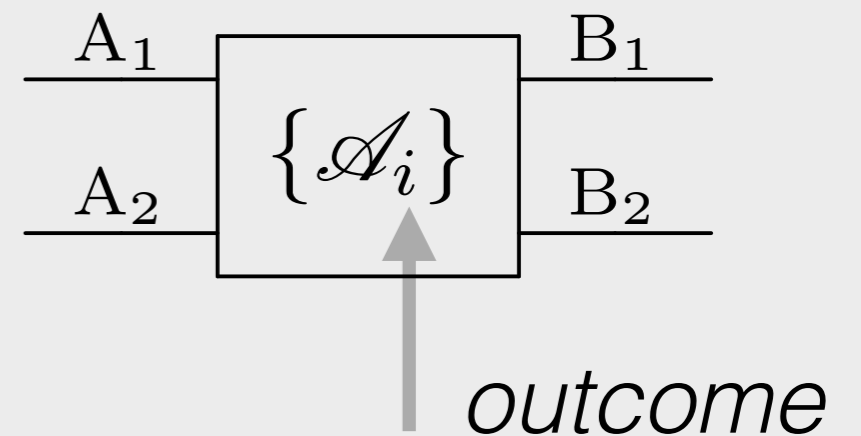
$$\sum_{i, k, \dots} p(i, j, k, \dots | \text{circuit}) =$$

$$p(j | \text{circuit})$$

Event



Test



DAG

# Operational Probabilistic Theory

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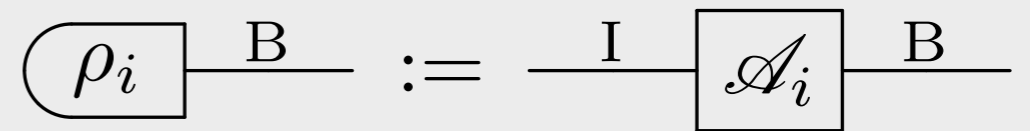
## The framework

Logic  $\subset$  Probability  $\subset$  OPT

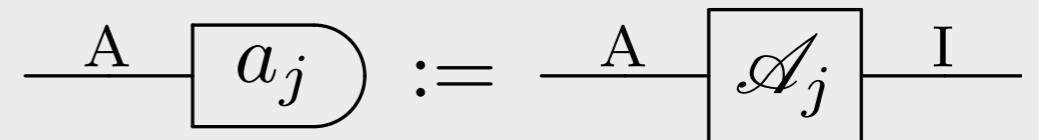
joint probabilities + connectivity

$p(i, j, k, \dots | \text{circuit})$

Notice: the probability of a “preparation” generally depends on the circuit at its output.

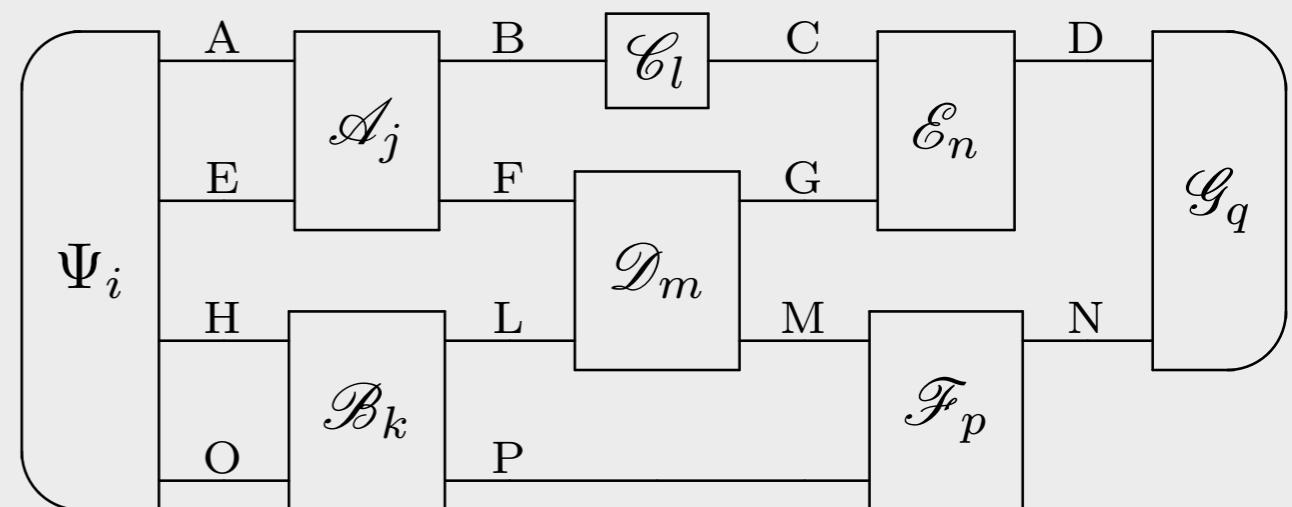


preparation



observation

$p(i, j, k, l, m, n, p, q | \text{circuit})$



# Operational Probabilistic Theory

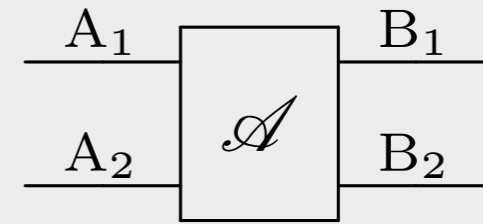
## The framework

Logic  $\subset$  Probability  $\subset$  OPT

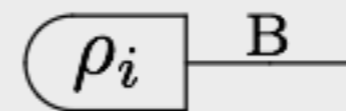
joint probabilities + connectivity

Probabilistic equivalence classes

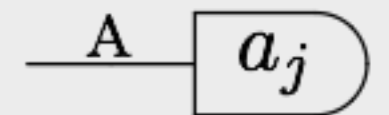
Notice: the probability of a transformation generally depends on the circuit at its output!!



*transformation*

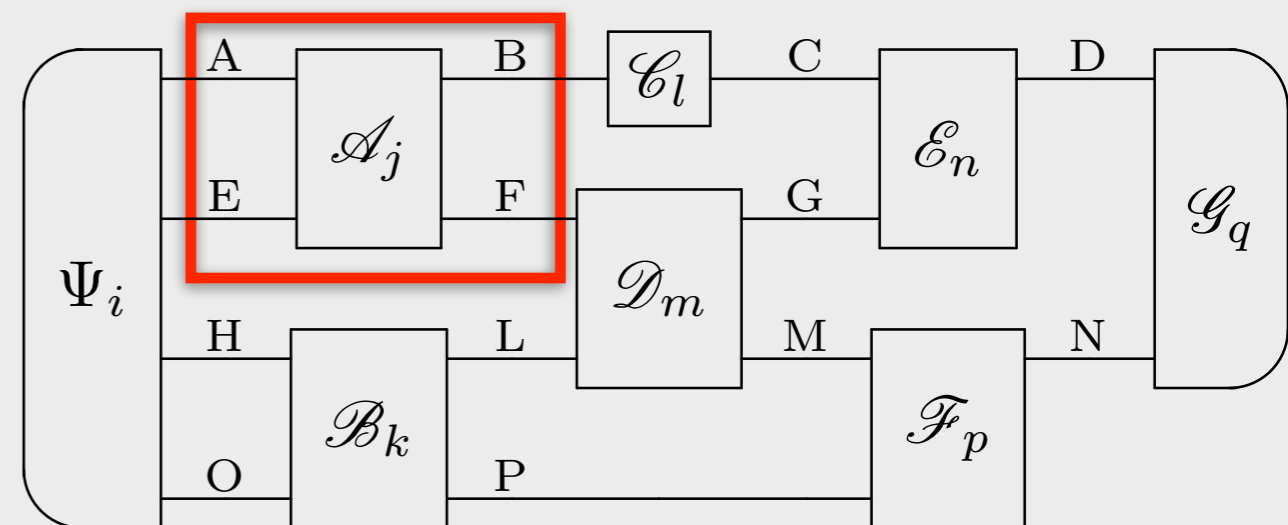


*state*



*effect*

$p(i, j, k, l, m, n, p, q | \text{circuit})$



# Operational Probabilistic Theory

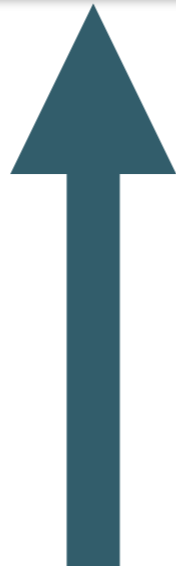
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The framework

Logic  $\subset$  Probability  $\subset$  OPT

joint probabilities + **connectivity**

Probabilistic equivalence classes



**Strictly symmetric monoidal category theory**

Multiplication of closed circuits

$$\begin{array}{c} \rho_{i_1} \text{---} A \text{---} a_{i_2} \\ \sigma_{j_1} \text{---} B \text{---} b_{j_2} \end{array} = \rho_{i_1} \text{---} A \text{---} a_{i_2} \quad \sigma_{j_1} \text{---} B \text{---} b_{j_2}$$
$$= p(i_1, i_2) q(j_1, j_2)$$

# Operational Probabilistic Theory

---

Sequential composition (associative)

$$\text{---} \overset{A}{\text{---}} \boxed{\{\mathcal{A}_x\}_{x \in X}} \text{---} \overset{B}{\text{---}} \boxed{\{\mathcal{B}_y\}_{y \in Y}} \text{---} \overset{C}{\text{---}} \quad =: \quad \text{---} \overset{A}{\text{---}} \boxed{\{\mathcal{B}_x \circ \mathcal{A}_y\}_{(x,y) \in X \times Y}} \text{---} \overset{C}{\text{---}}$$

Identity test

$$\text{---} \overset{A}{\text{---}} \boxed{\mathcal{I}_A} \text{---} \overset{A}{\text{---}} \boxed{\mathcal{C}} \text{---} \overset{B}{\text{---}} \quad = \quad \text{---} \overset{A}{\text{---}} \boxed{\mathcal{C}} \text{---} \overset{B}{\text{---}}$$

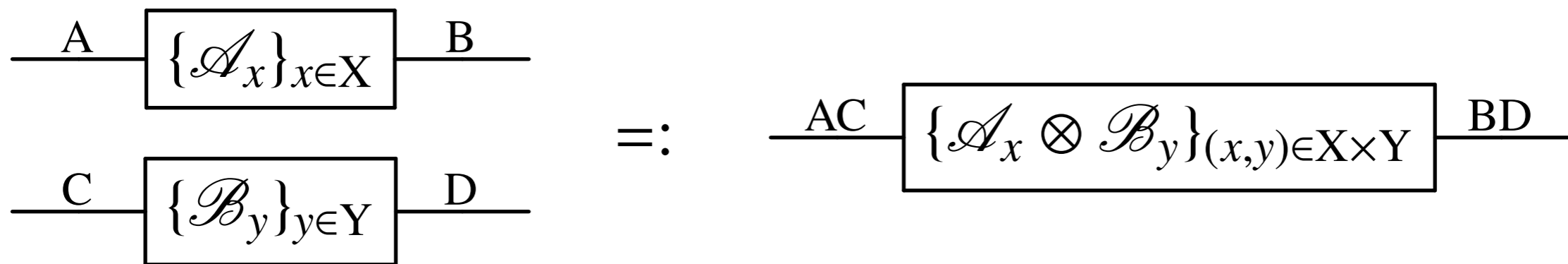
$$\text{---} \overset{B}{\text{---}} \boxed{\mathcal{D}} \text{---} \overset{A}{\text{---}} \boxed{\mathcal{I}_A} \text{---} \overset{A}{\text{---}} \quad = \quad \text{---} \overset{B}{\text{---}} \boxed{\mathcal{D}} \text{---} \overset{A}{\text{---}}$$



# Operational Probabilistic Theory

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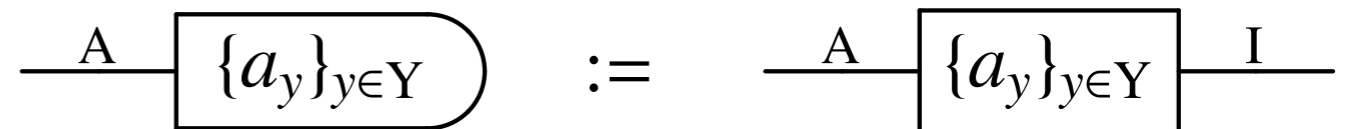
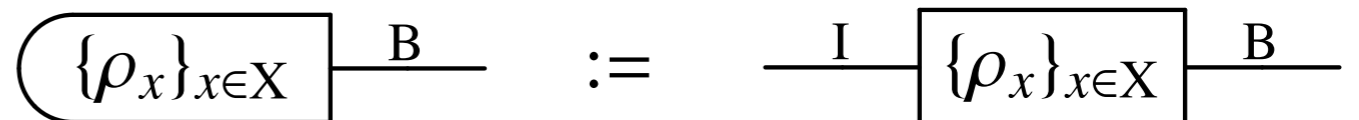
Parallel composition (associative)



$$AB = BA$$

$$AI = IA = A$$

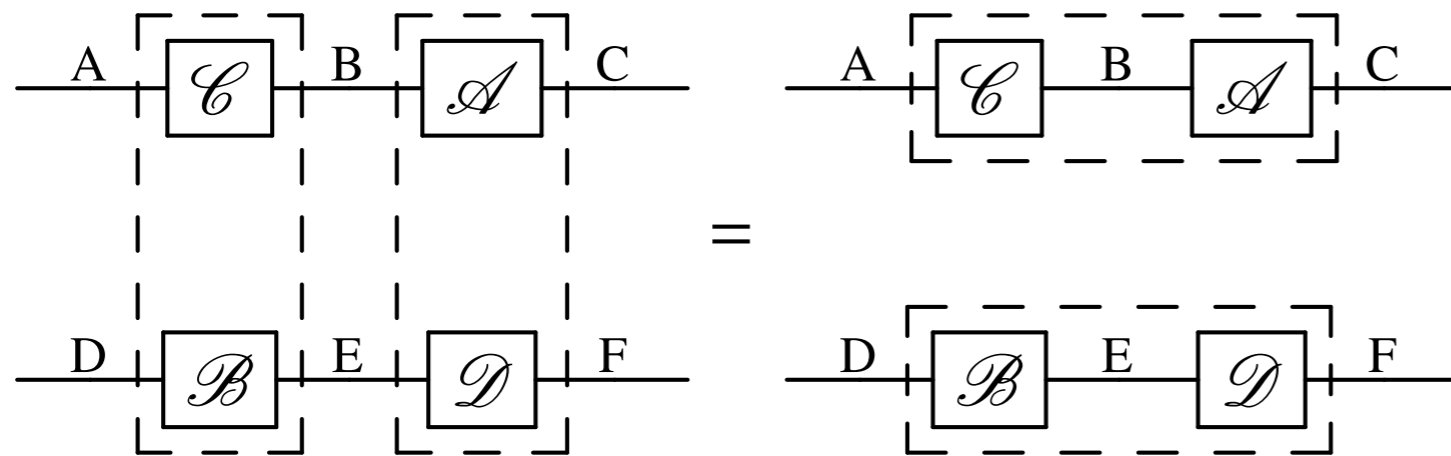
$$A(BC) = (AB)C$$



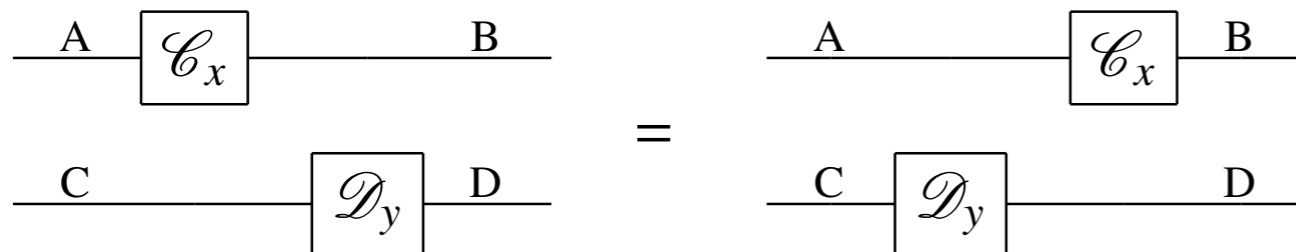
# Operational Probabilistic Theory

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Sequential and parallel compositions commute



$$(\mathcal{A} \otimes \mathcal{D}) \circ (\mathcal{C} \otimes \mathcal{B}) = (\mathcal{A} \circ \mathcal{C}) \otimes (\mathcal{D} \circ \mathcal{B})$$



wire-stretching  
(foliations)

# Operational Probabilistic Theory

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The framework

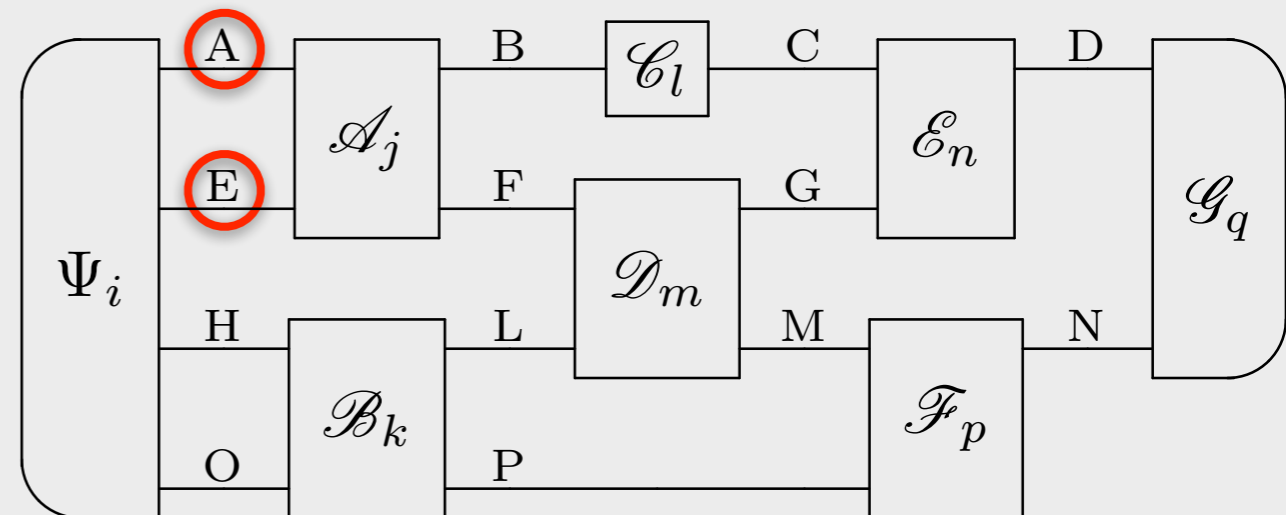
Logic  $\subset$  Probability  $\subset$  OPT

joint probabilities + connectivity

$p(i, j, k, \dots | \text{circuit})$

independent systems

$p(i, j, k, l, m, n, p, q | \text{circuit})$



# Operational Probabilistic Theory

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The framework

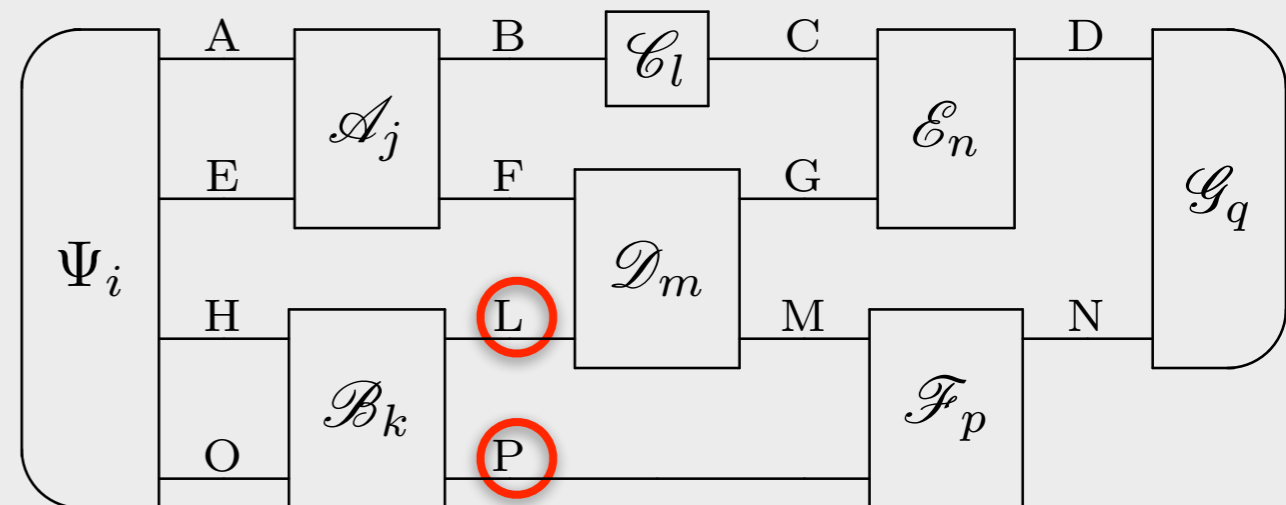
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# Operational Probabilistic Theory

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The framework

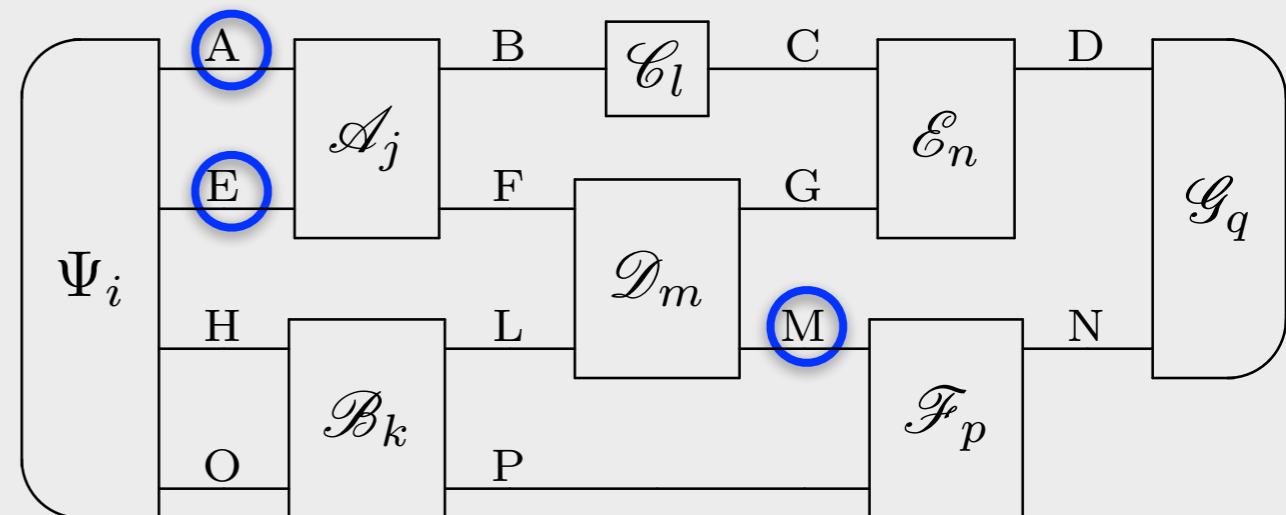
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# Operational Probabilistic Theory

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The framework

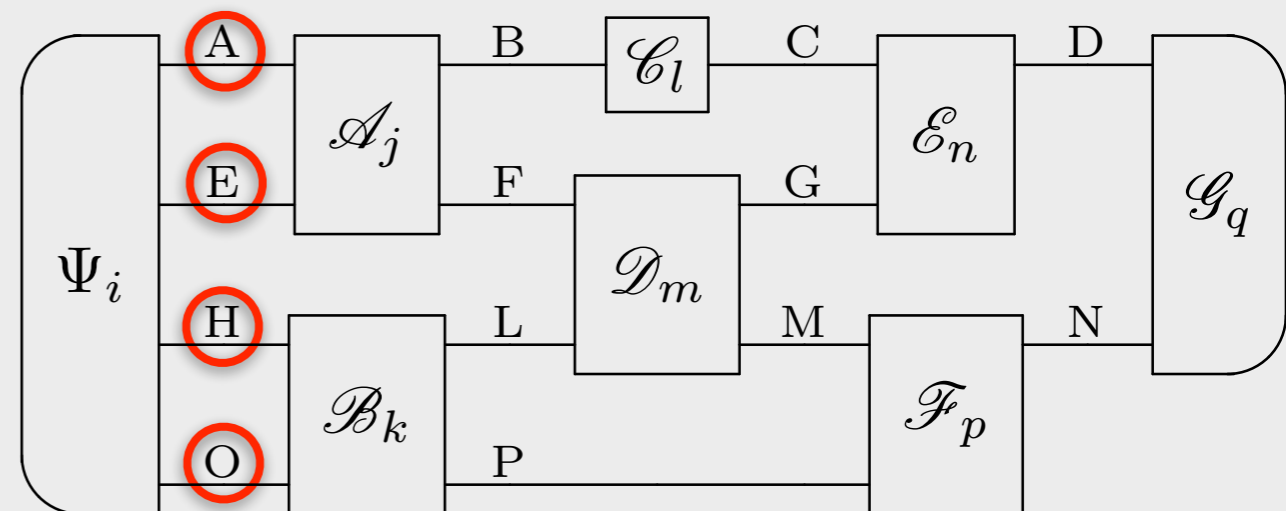
Logic  $\subset$  Probability  $\subset$  OPT

joint probabilities + connectivity

$p(i, j, k, \dots | \text{circuit})$

Maximal set of independent systems  
= “leaf”

$p(i, j, k, l, m, n, p, q | \text{circuit})$



# Operational Probabilistic Theory

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The framework

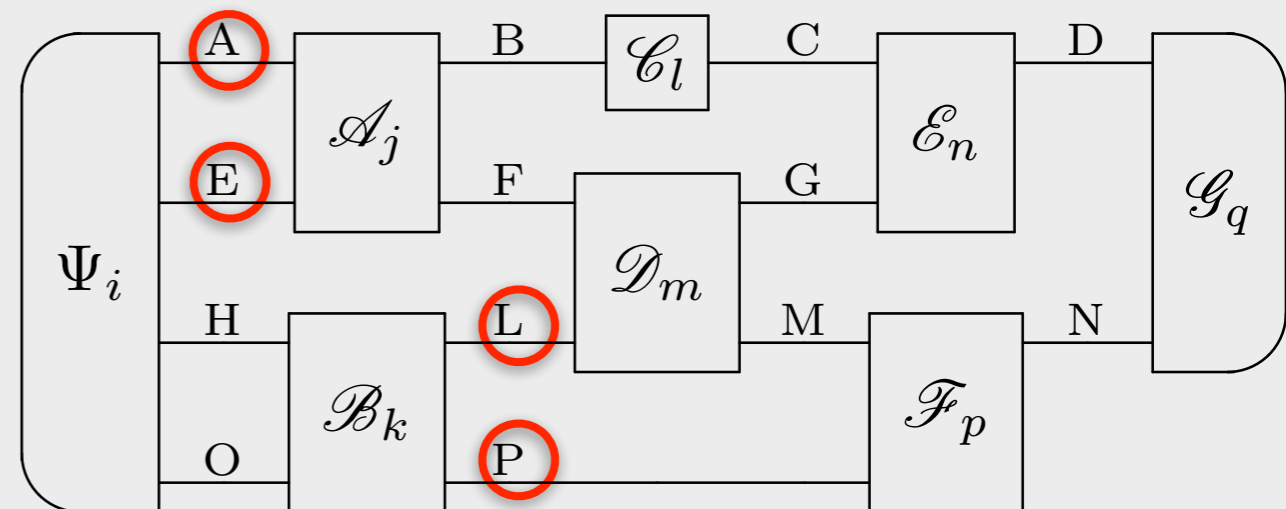
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# Operational Probabilistic Theory

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The framework

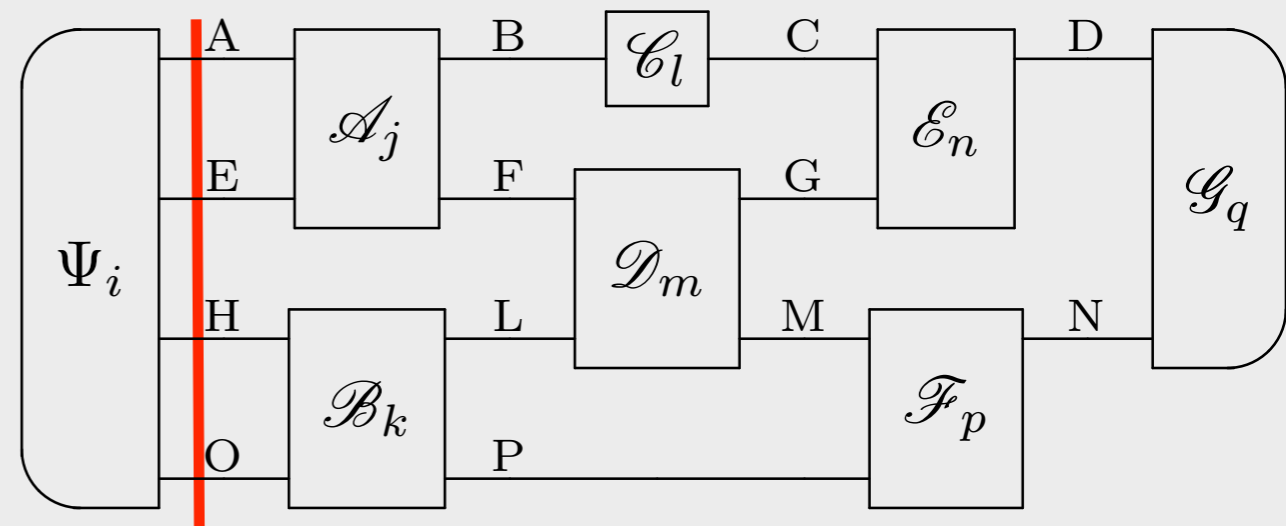
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# Operational Probabilistic Theory

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The framework

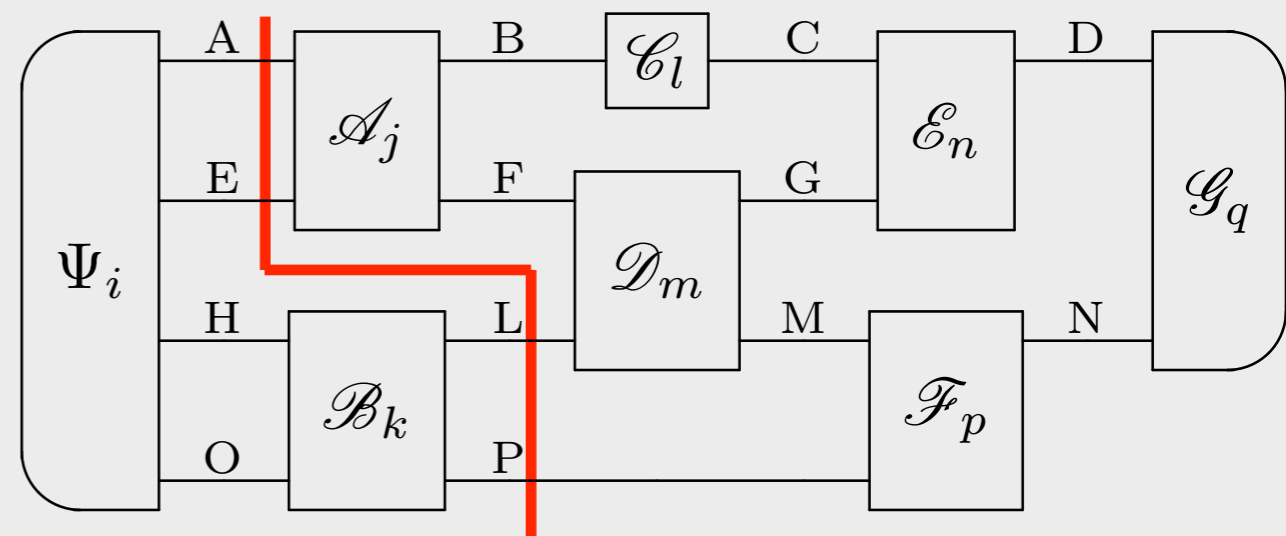
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# Operational Probabilistic Theory

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joint probabilities + connectivity

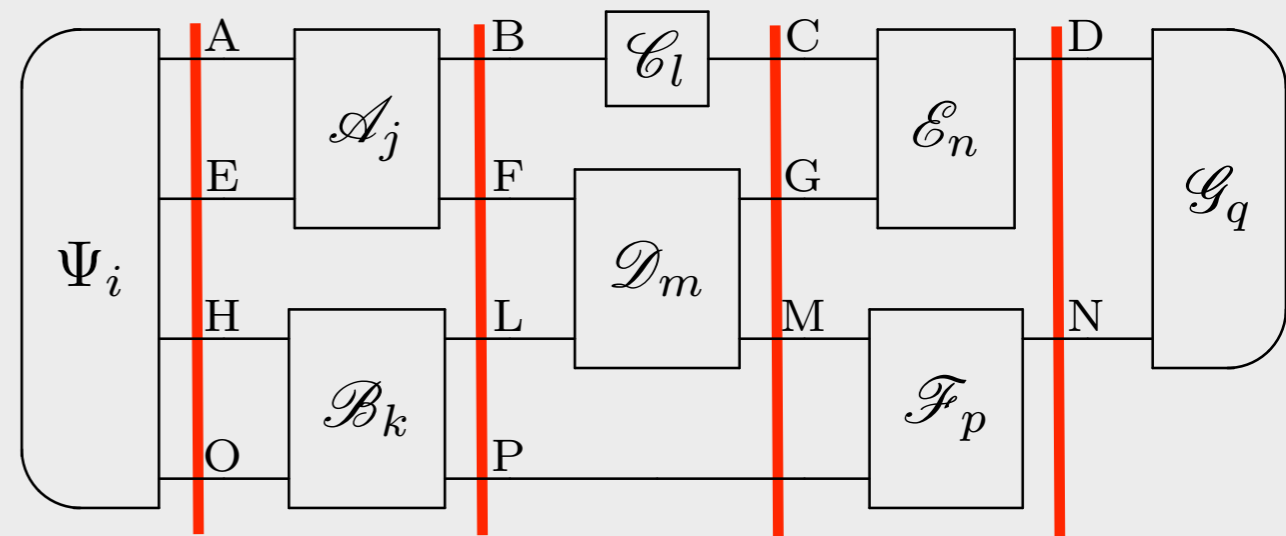
$$p(i, j, k, \dots | \text{circuit})$$

Maximal set of independent systems  
= "leaf"



*Foliation*

$$p(i, j, k, l, m, n, p, q | \text{circuit})$$



# Operational Probabilistic Theory

The framework

Logic  $\subset$  Probability  $\subset$  OPT

joint probabilities + connectivity

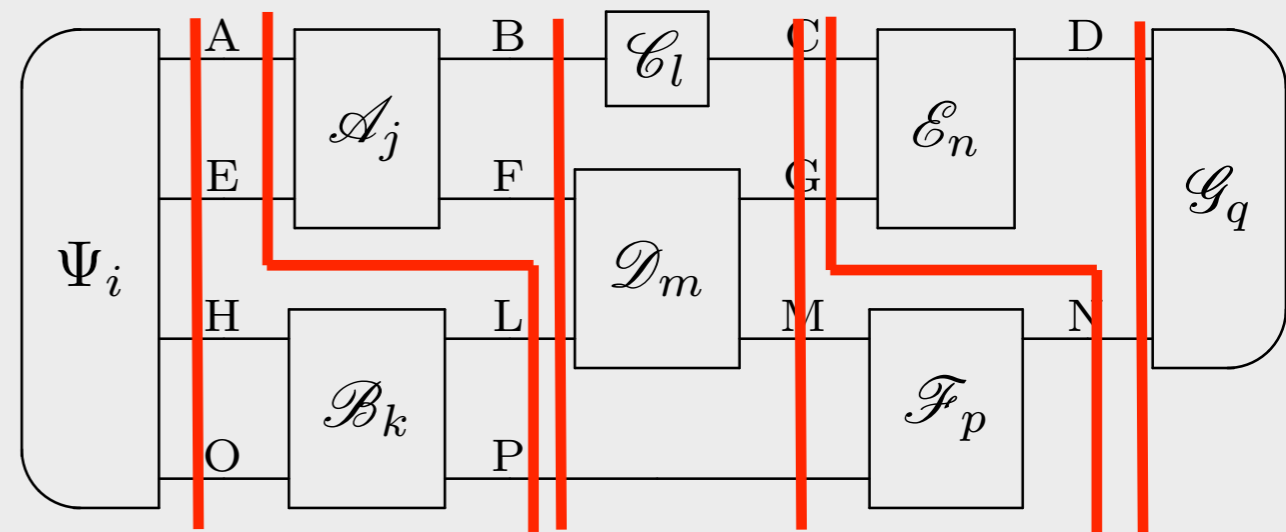
$$p(i, j, k, \dots | \text{circuit})$$

Maximal set of independent systems = "leaf"



*Foliation*

$$p(i, j, k, l, m, n, p, q | \text{circuit})$$



# Operational Probabilistic Theory

States are functionals for effects

States are separating for effects

Effects are functionals on states

Effects are separating for states

Embedding in real vector spaces

$\text{St}(A)$ ,  $\text{St}_1(A)$ ,  $\text{St}_{\mathbb{R}}(A)$

$\text{Eff}(A)$ ,  $\text{Eff}_1(A)$ ,  $\text{Eff}_{\mathbb{R}}(A)$

Dimension  $D_A$

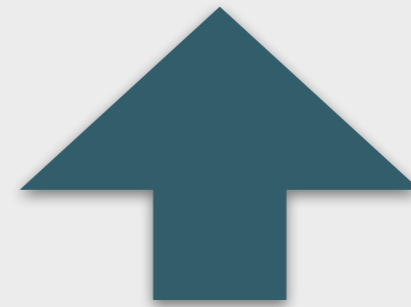
$$\text{Eff}_{\mathbb{R}}(A) = \text{St}_{\mathbb{R}}(A)^{\vee}$$

$$\text{St}_{\mathbb{R}}(A) = \text{Eff}_{\mathbb{R}}(A)^{\vee}$$

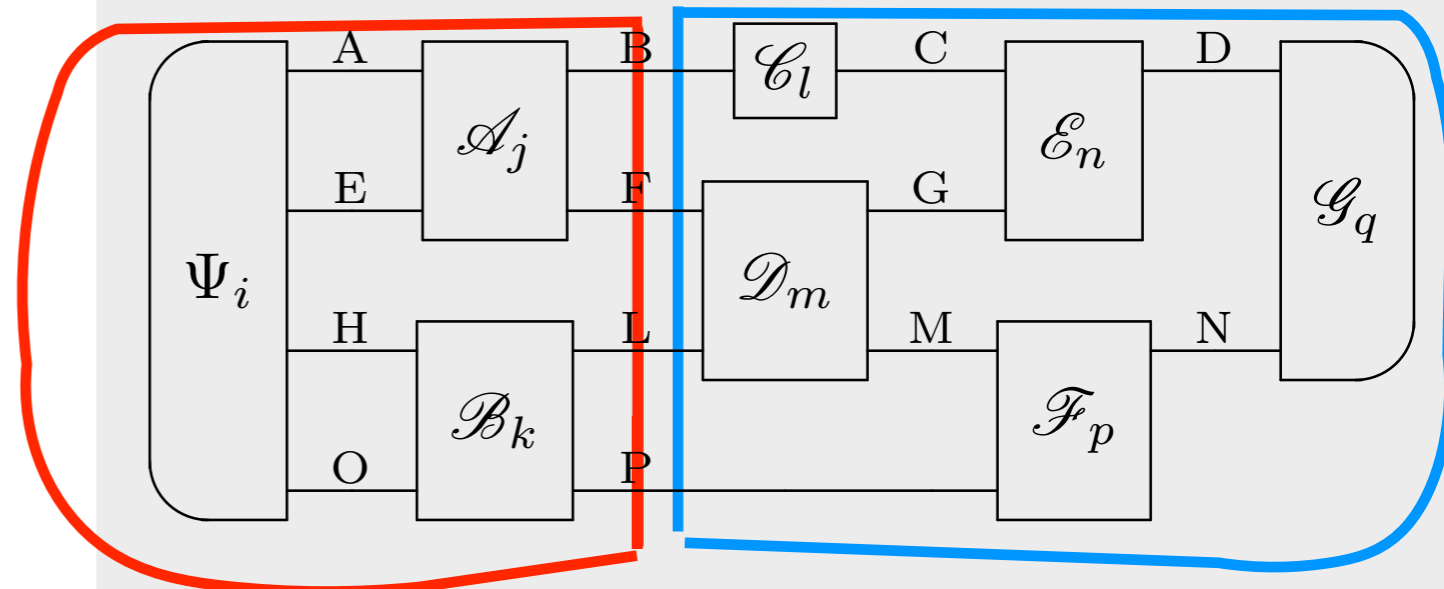
Paring notation:

$$\rho \in \text{St}(A), a \in \text{Eff}(A), \quad \boxed{\rho} \xrightarrow{A} \boxed{a} = (a|\rho)$$

$$\boxed{(\Psi_i, \mathcal{A}_j, \mathcal{B}_k)} \xrightarrow{\text{BFLP}} \boxed{(\mathcal{D}_m, \mathcal{F}_p, \mathcal{E}_n, \mathcal{G}_q)}$$



$$p(i, j, k, l, m, n, p, q | \text{circuit})$$



# Operational Probabilistic Theory

$$\{\mathcal{T}_i\}_{i \in \{i_1, i_2, \dots, i_n, i_{n+1}, i_{n+2}, \dots, \dots\}}$$

$\underbrace{\quad\quad\quad}_{j_1} \quad \underbrace{\quad\quad\quad}_{j_2} \quad \underbrace{\quad\quad\quad}_{\dots}$

Coarse-graining  $\downarrow$        $\uparrow$  Refinement

$$\{\hat{\mathcal{T}}_j\}_{j \in \{j_1, j_2, \dots\}}$$

$$\hat{\mathcal{T}}_S = \sum_{i \in S} \mathcal{T}_i$$

Partial ordering

Conditioned test (needs causality)

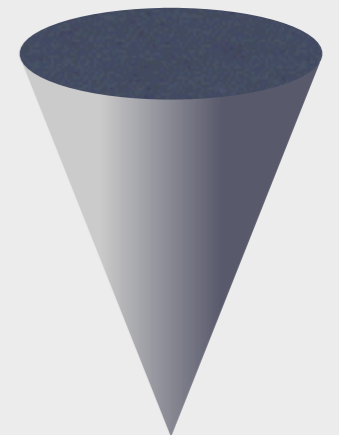
$$A \text{---} \boxed{\mathcal{C}_i} \text{---} B \text{---} \boxed{\mathcal{D}_{j_i}^{(i)}} \text{---} C \quad := \quad A \text{---} \boxed{\mathcal{D}_{j_i}^{(i)} \circ \mathcal{C}_i} \text{---} C$$

Circuit multiplication: randomize tests

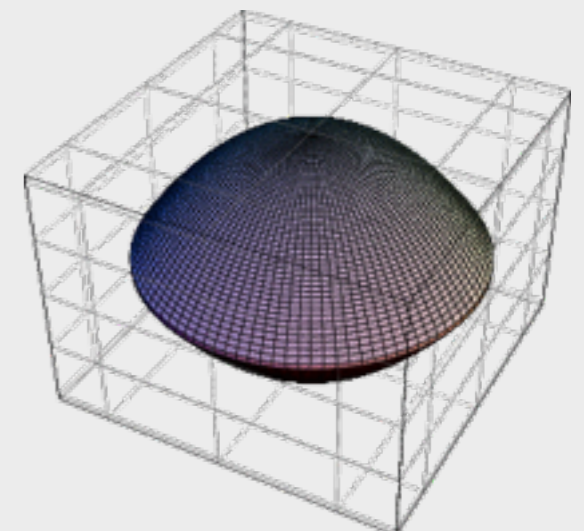
$$p_i \text{---} A \text{---} \boxed{\mathcal{C}_{j_i}^{(i)}} \text{---} B \quad := \quad \begin{array}{c} A \text{---} \boxed{\mathcal{C}_{j_i}^{(i)}} \text{---} B \\ \text{---} I \text{---} \boxed{p_i} \text{---} I \end{array}$$



Cone structure



Convex structure



# Principles for Quantum Theory

---

$\{\rho_0, \rho_1\} \subseteq \text{St}(A)$     preparation test

$\{a_0, a_1\}$                     observation test

success probability of discrimination

$$\begin{aligned} p_{\text{succ}} &= (a_0|\rho_0) + (a_1|\rho_1) \\ &= (a|\rho_0) + (a_1|\rho_1 - \rho_0) \\ &= (a|\rho_1) + (a_0|\rho_0 - \rho_1) \\ &= \frac{1}{2}[1 + (a_1 - a_0|\rho_1 - \rho_0)] \end{aligned}$$

$$a := a_0 + a_1$$

## Metric

$$p_{\text{succ}}^{(\text{opt})} = \frac{1}{2}[1 + \|\rho_1 - \rho_0\|]$$

$$\|\delta\| := \sup_{\{a_0, a_1\}} (a_0 - a_1|\delta),$$

$$\|\delta\| = \sup_{a_0 \in \text{Eff}(A)} (a_0|\delta) - \inf_{a_1 \in \text{Eff}(A)} (a_1|\delta)$$

monotonicity

$$\mathcal{C} \in \text{Transf}_1(A, B)$$

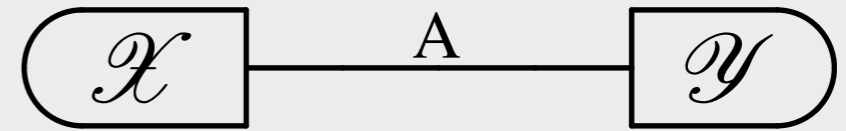
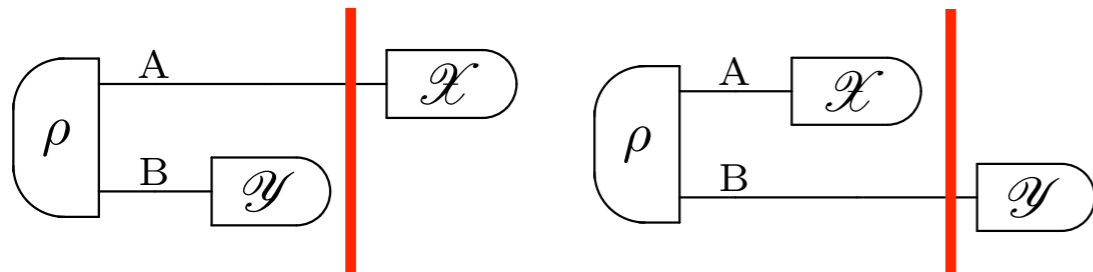
$$\|\mathcal{C}\delta\|_B \leq \|\delta\|_A$$

# Principles for Quantum Theory

- P1. Causality
- P2. Local discriminability
- P3. Purification
- P4. Atomicity of composition
- P5. Perfect distinguishability
- P6. Lossless Compressibility

The probability of preparations is independent of the choice of observations

no signaling without interaction

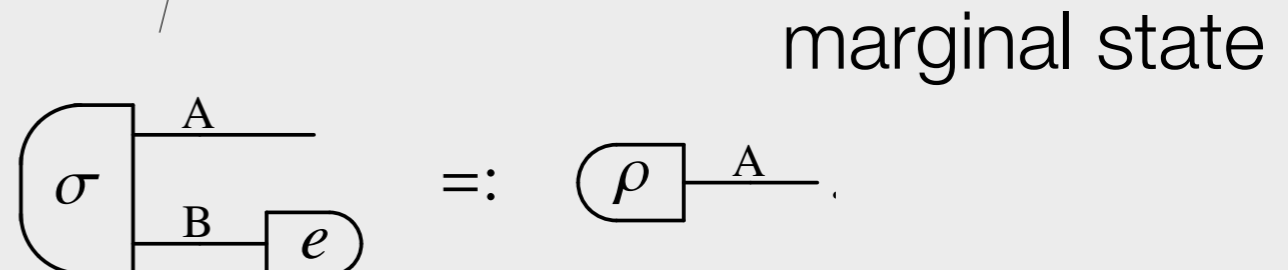
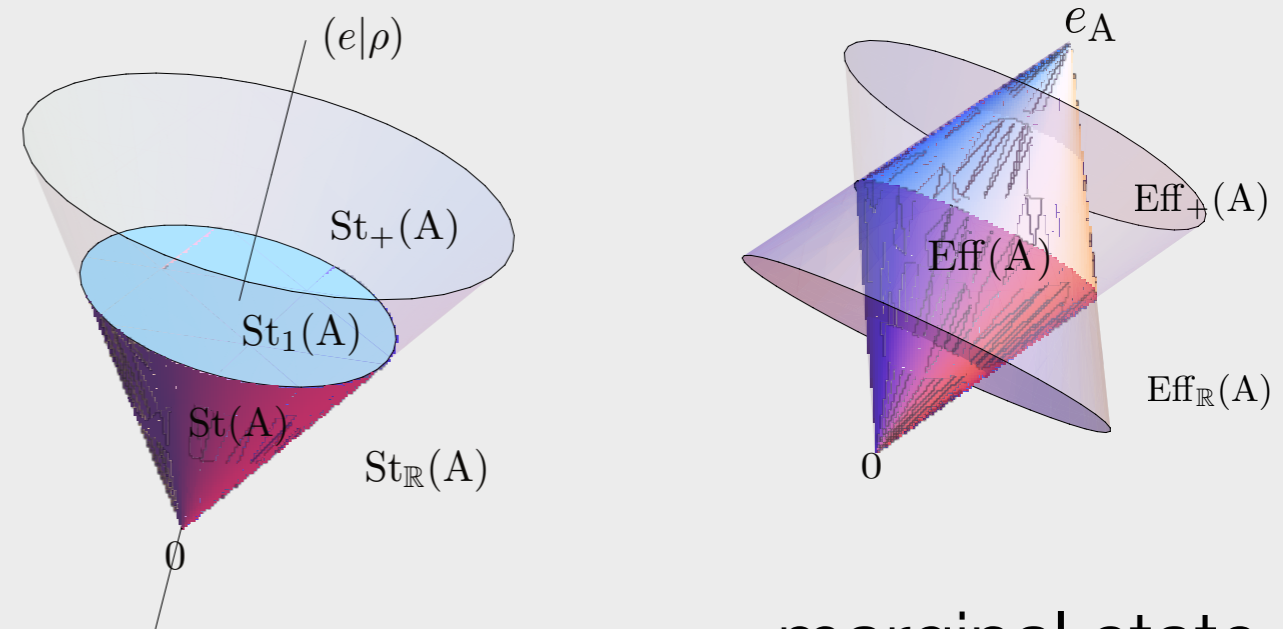


$$p(i, j | \mathcal{X}, \mathcal{Y}) := (a_j | \rho_i)$$



$$p(i | \mathcal{X}, \mathcal{Y}) = p(i | \mathcal{X}, \mathcal{Y}') = p(i | \mathcal{X})$$

Iff conditions: a) the deterministic effect is unique; b) states are "normalizable"



# Principles for Quantum Theory

P1. Causality

P2. Local discriminability

P3. Purification

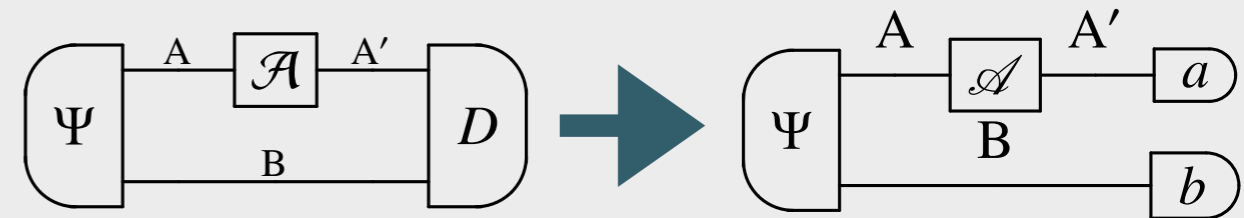
P4. Atomicity of composition

P5. Perfect distinguishability

P6. Lossless Compressibility

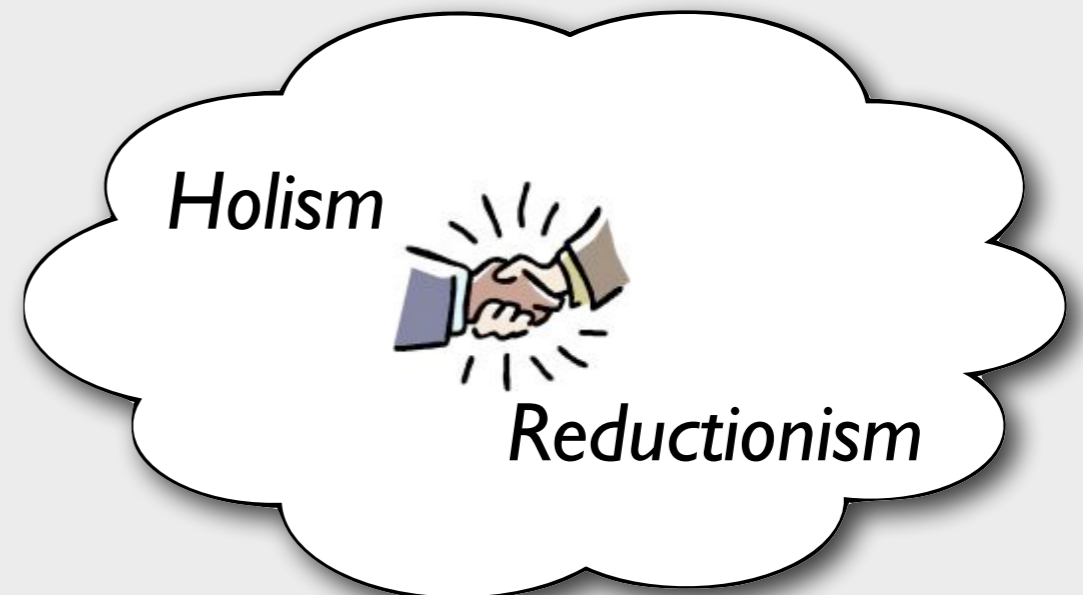
It is possible to discriminate any pair of states of composite systems using only local measurements.

$$\rho \begin{array}{c} A \\ B \end{array} \neq \sigma \begin{array}{c} A \\ B \end{array} \Rightarrow \rho \begin{array}{c} A \\ B \\ a \\ b \end{array} \neq \sigma \begin{array}{c} A \\ B \\ a \\ b \end{array}$$



Local characterization of transformations

$$\Psi \begin{array}{c} A \\ B \\ a \\ b \end{array} = \rho_b \begin{array}{c} A \\ B \\ a \end{array}$$





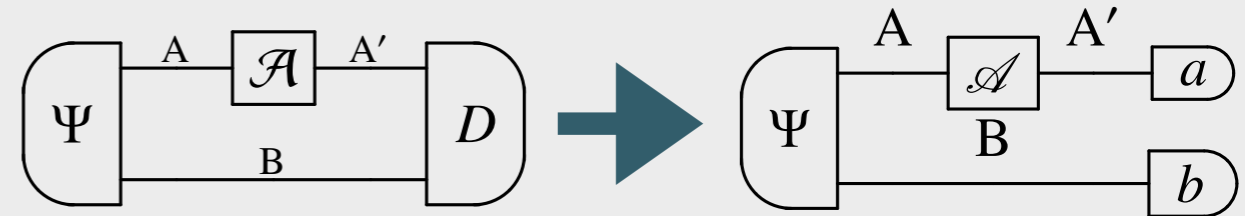
# Principles for Quantum Theory

- P1. Causality
- P2. Local discriminability
- P3. Purification
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- P5. Perfect distinguishability
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It is possible to discriminate any pair of states of composite systems using only local measurements.

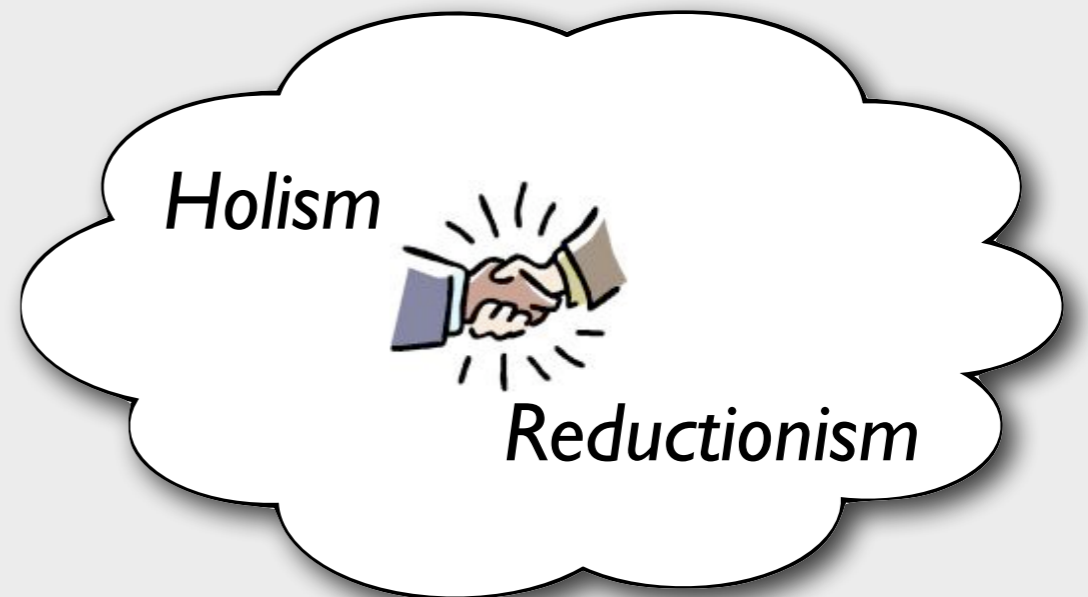
Origin of the complex tensor product

$$\rho \begin{array}{c} A \\ B \end{array} \neq \sigma \begin{array}{c} A \\ B \end{array} \Rightarrow \rho \begin{array}{c} A \\ B \\ a \\ b \end{array} \neq \sigma \begin{array}{c} A \\ B \\ a \\ b \end{array}$$



Local characterization of transformations

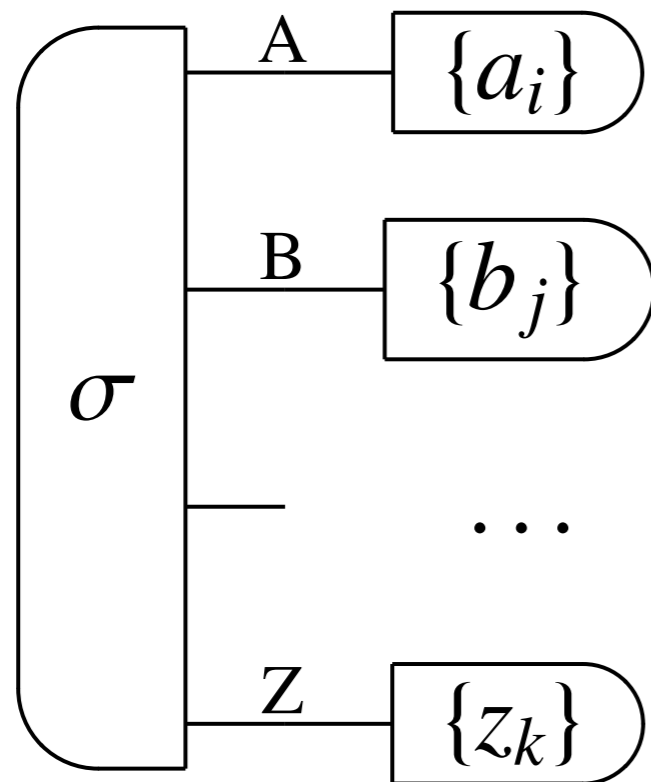
$$\Psi \begin{array}{c} A \\ B \\ a \\ b \end{array} = \rho_b \begin{array}{c} A \\ A' \\ a \end{array}$$



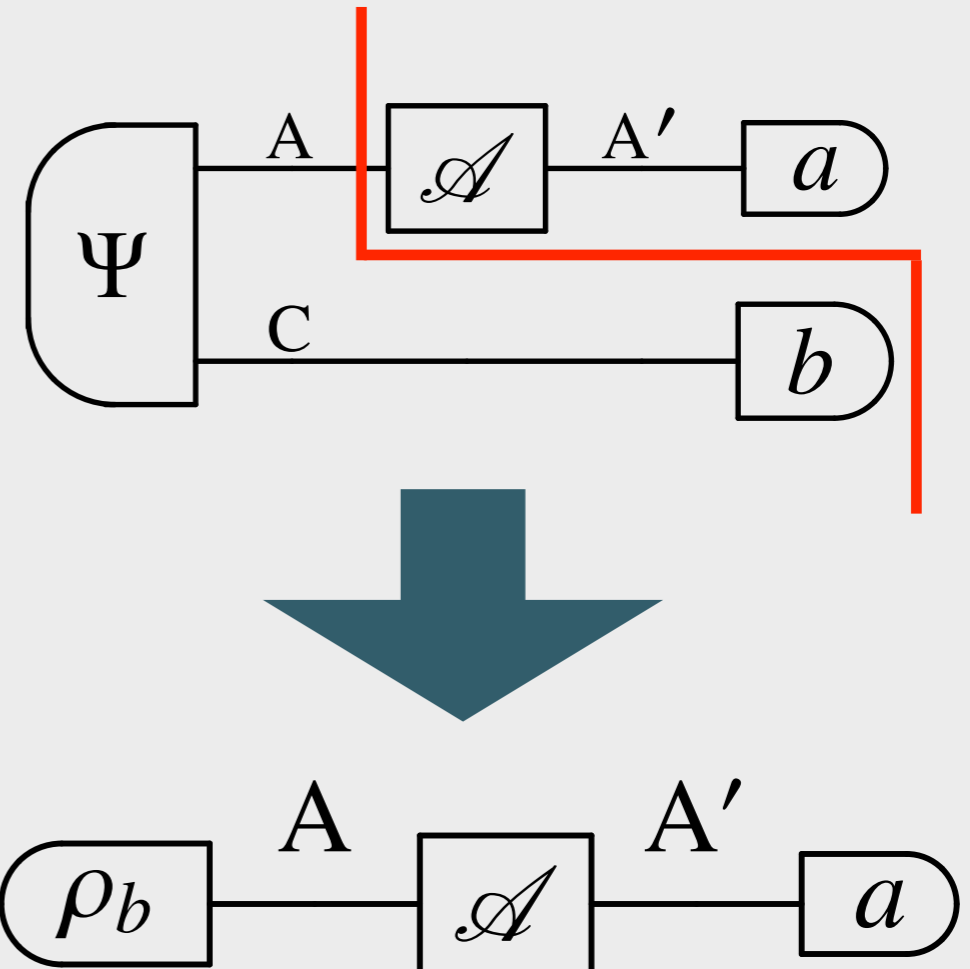
# Principles for Quantum Theory

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Local effects are separating for joint states



# Tomography



Counter-examples: Real QT, Fermionic QT

# Principles for Quantum Theory

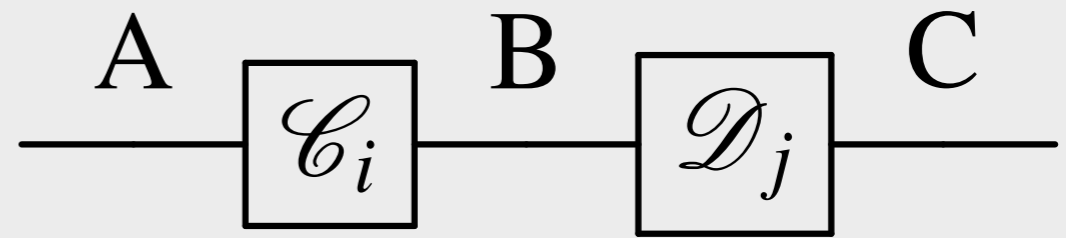
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- P1. Causality
- P2. Local discriminability
- P3. Purification
- P4. Atomicity of composition
- P5. Perfect distinguishability
- P6. Lossless Compressibility

The composition of two atomic transformations is atomic



Complete information can be accessed on a step-by-step basis



# Principles for Quantum Theory

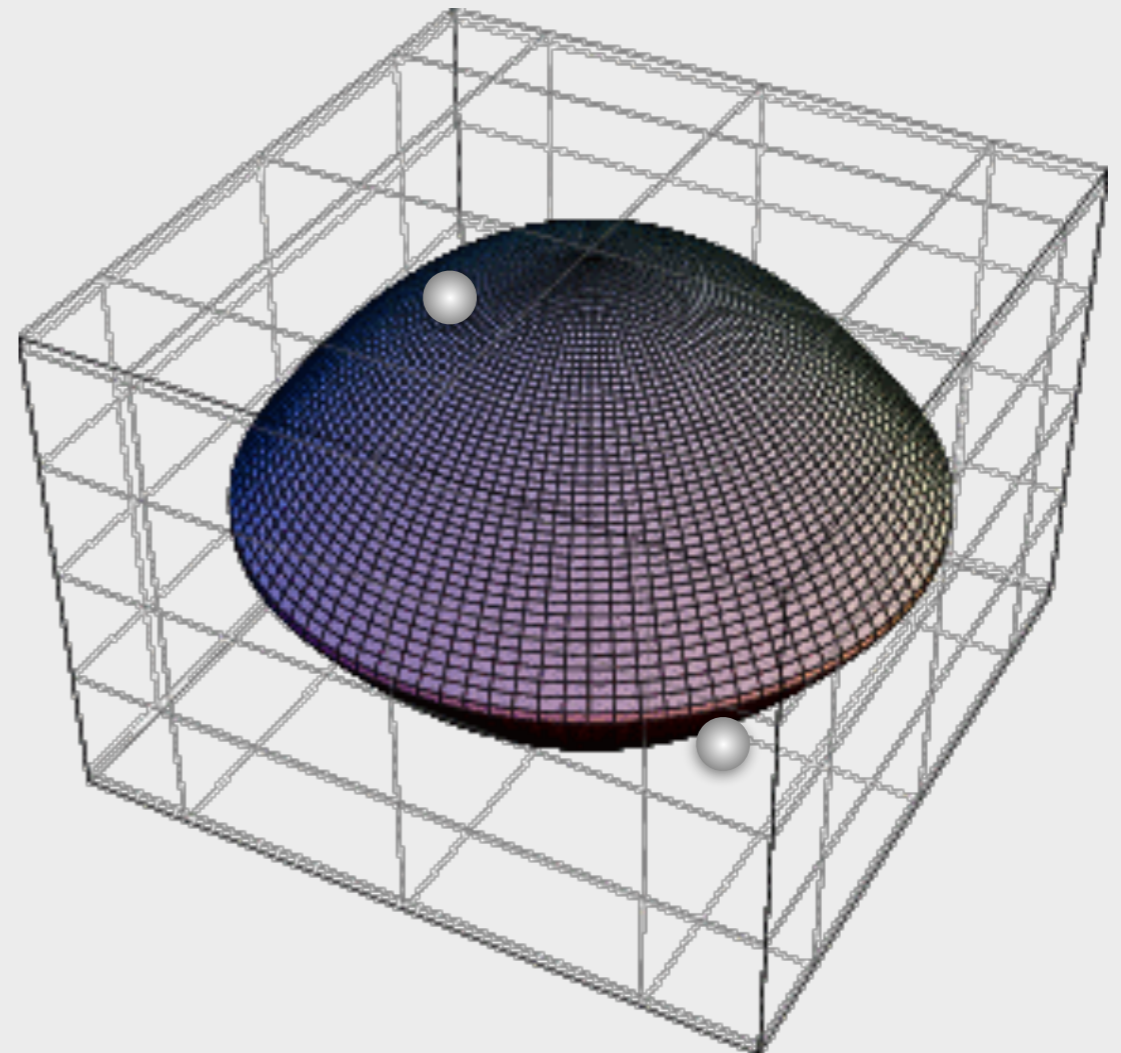
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- P1. Causality
- P2. Local discriminability
- P3. Purification
- P4. Atomicity of composition
- P5. Perfect distinguishability
- P6. Lossless Compressibility

Every state that is not completely mixed (i.e. on the boundary of the convex) can be perfectly distinguished from some other state.



Falsifiability of the theory

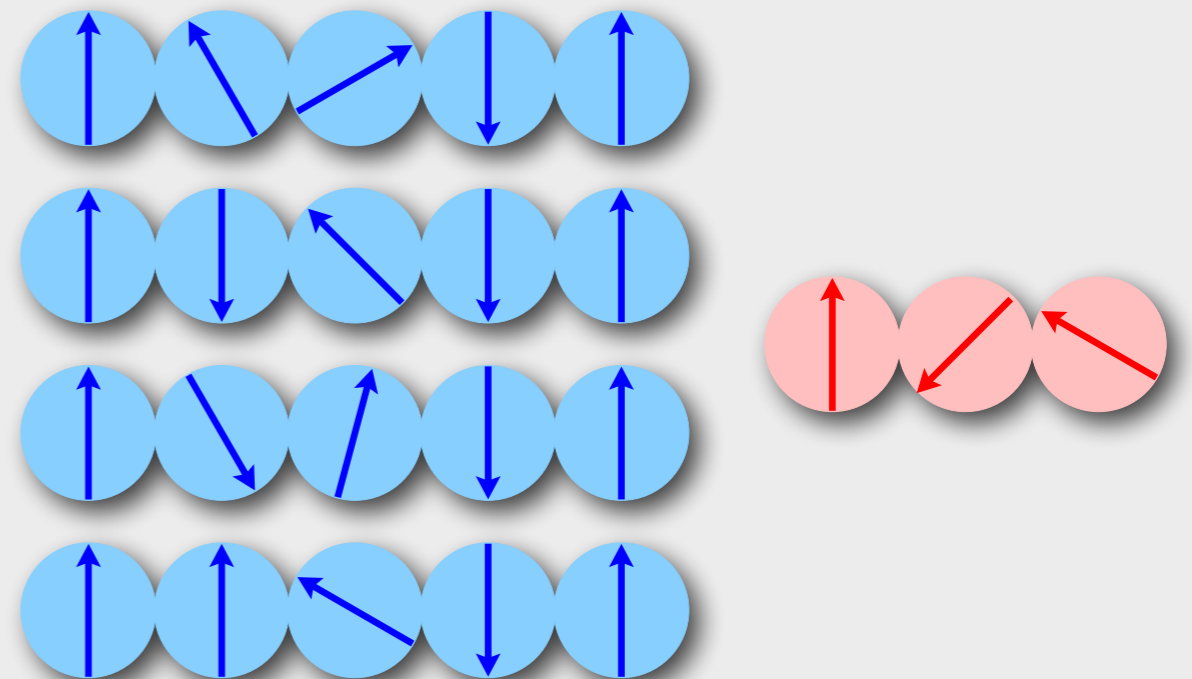
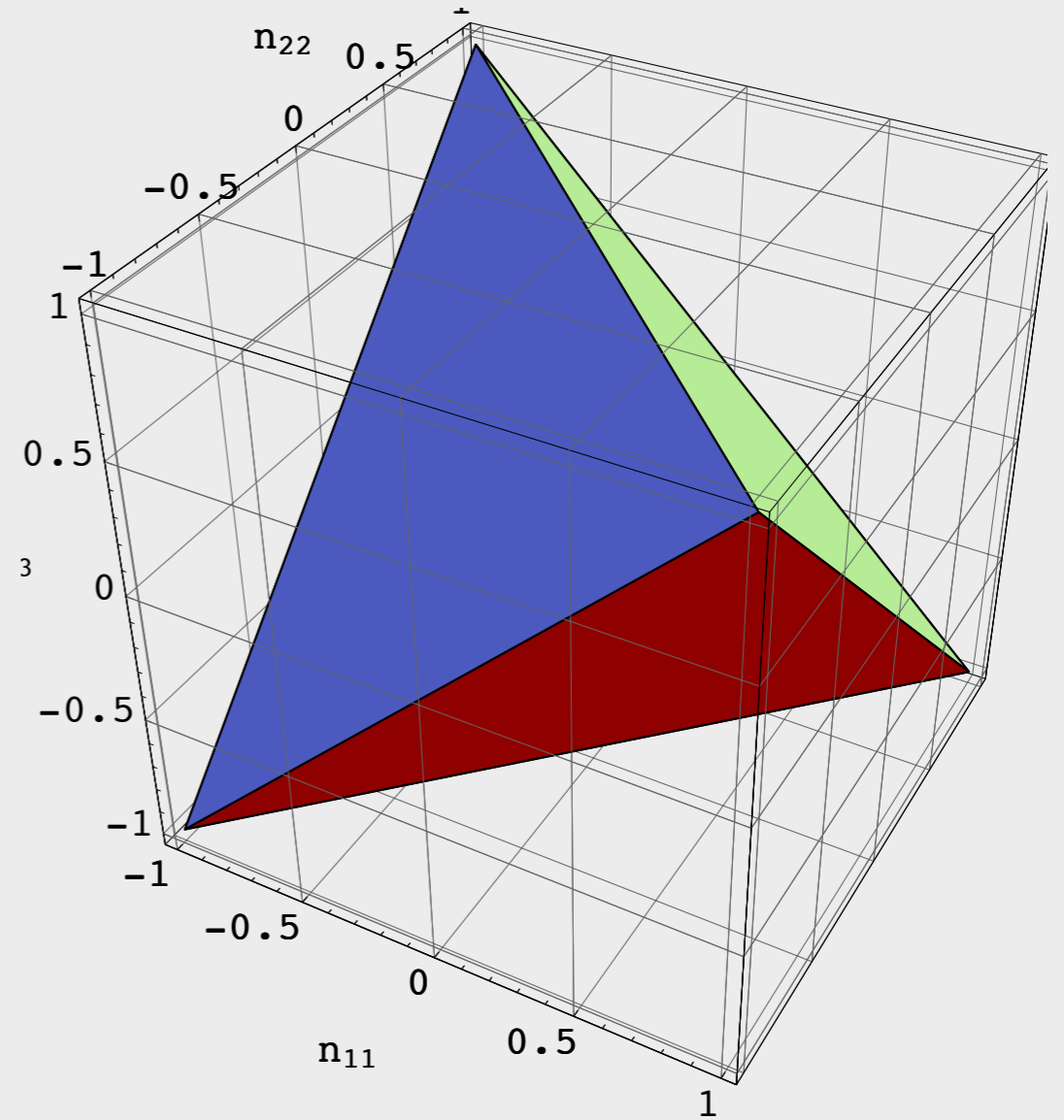


# Principles for Quantum Theory

- P1. Causality
- P2. Local discriminability
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For states that are not completely mixed there exists an ideal compression scheme

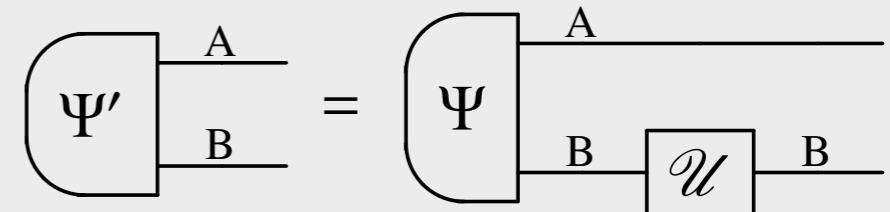
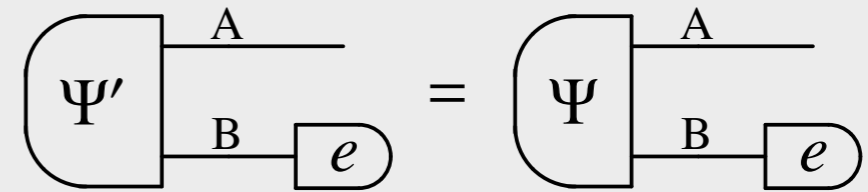
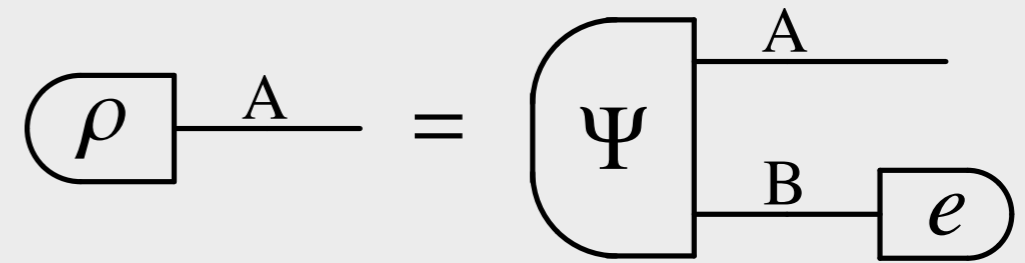
Any face of the convex set of states is the convex set of states of some other system



# Principles for Quantum Theory

- P1. Causality
- P2. Local discriminability
- P3. Purification
- P4. Atomicity of composition
- P5. Perfect distinguishability
- P6. Lossless Compressibility

Every state has a purification. For fixed purifying system, every two purifications of the same state are connected by a reversible transformation on the purifying system



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## Consequences

1. **Existence of entangled states:**

the purification of a mixed state is an entangled state;  
the marginal of a pure entangled state is a mixed state;

2. *Every two normalized pure states of the same system are connected by a reversible transformation*

$$\boxed{\psi'} \text{---} \text{B} = \boxed{\psi} \text{---} \text{B} \text{---} \mathcal{U} \text{---} \text{B}$$

3. **Steering:** Let  $\Psi$  purification of  $\rho$ . Then for every ensemble decomposition  $\rho = \sum_x p_x \alpha_x$  there exists a measurement  $\{b_x\}$ , such that

$$\boxed{\Psi} \begin{matrix} \text{A} \\ \text{B} \end{matrix} \text{---} \boxed{b_x} = p_x \boxed{\alpha_x} \text{---} \text{A} \quad \forall x \in X$$

4. **Process tomography (pure faithful state):**

$$\boxed{\Psi} \begin{matrix} \text{A} \\ \text{B} \end{matrix} \text{---} \mathcal{A} \text{---} \text{A}' = \boxed{\Psi} \begin{matrix} \text{A} \\ \text{B} \end{matrix} \text{---} \mathcal{A}' \text{---} \text{A}' \quad \longrightarrow \quad \mathcal{A} \rho = \mathcal{A}' \rho \quad \forall \rho$$

5. **No information without disturbance**

# Principles for Quantum Theory

- P1. Causality
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Every state has a purification. For fixed purifying system, every two purifications of the same state are connected by a reversible transformation on the purifying system

Purification establishes an interesting correspondence between transformations and states. This is easy to see: let us take a set of states  $\{\alpha_x \mid x \in X\}$  that span the whole state space of system A and a set of positive probabilities  $\{p_x\}_{x \in X}$ . Then, take a purification of the mixed state  $\rho = \sum_x p_x \alpha_x$ —say  $\Psi \in \text{PurSt}(AB)$ . Now, if two transformations  $\mathcal{A}$  and  $\mathcal{A}'$  satisfy

$$\begin{array}{c} \text{A} \\ \Psi \\ \text{B} \end{array} \begin{array}{c} \mathcal{A} \\ \text{A}' \end{array} = \begin{array}{c} \text{A} \\ \Psi \\ \text{B} \end{array} \begin{array}{c} \mathcal{A}' \\ \text{A}' \end{array},$$

it is clear that  $\mathcal{A}$  must be equal to  $\mathcal{A}'$ , namely the correspondence  $\mathcal{A} \mapsto (\mathcal{A} \otimes \mathcal{I}_B)\Psi$  is injective.

## Consequences

1. **Existence of entangled states:**  
the purification of a mixed state is an entangled state;  
the marginal of a pure entangled state is a mixed state;

2. Every two normalized pure states of the same system are connected by a reversible transformation

$$\begin{array}{c} \psi' \\ \text{B} \end{array} = \begin{array}{c} \psi \\ \text{B} \end{array} \begin{array}{c} \mathcal{U} \\ \text{B} \end{array}$$

3. **Steering:** Let  $\Psi$  purification of  $\rho$ . Then for every ensemble decomposition  $\rho = \sum_x p_x \alpha_x$  there exists a measurement  $\{b_x\}$ , such that

$$\begin{array}{c} \text{A} \\ \Psi \\ \text{B} \end{array} \begin{array}{c} b_x \end{array} = p_x \begin{array}{c} \alpha_x \\ \text{A} \end{array} \quad \forall x \in X$$

4. **Process tomography (pure faithful state):**

$$\begin{array}{c} \text{A} \\ \Psi \\ \text{B} \end{array} \begin{array}{c} \mathcal{A} \\ \text{A}' \end{array} = \begin{array}{c} \text{A} \\ \Psi \\ \text{B} \end{array} \begin{array}{c} \mathcal{A}' \\ \text{A}' \end{array} \Rightarrow \mathcal{A}\rho = \mathcal{A}'\rho \quad \forall \rho$$

5. **No information without disturbance**



# Principles for Quantum Theory

P1. Causality

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Every state has a purification. For fixed purifying system, every two purifications of the same state are connected by a reversible transformation on the purifying system

different. If we take a pure state  $\Psi \in \text{PurSt}(AB)$  that can be used for process tomography, then the no-disturbance condition implies  $\sum_x (\mathcal{A}_x \otimes \mathcal{I}_B)\Psi = \Psi$ . But  $\Psi$  is pure: hence, each unnormalized state  $(\mathcal{A}_x \otimes \mathcal{I}_B)\Psi$  must be proportional to  $\Psi$ . Precisely, there must be a set of probabilities  $\{p_x\}$  such that  $(\mathcal{A}_x \otimes \mathcal{I}_B)\Psi = p_x\Psi$ . Since the map  $\mathcal{A} \mapsto (\mathcal{A} \otimes \mathcal{I}_B)\Psi$  is injective (see Sect. 8.6), we conclude that  $\mathcal{A}_x = p_x\mathcal{I}_A$ . In other

## Consequences

### 1. Existence of entangled states:

the purification of a mixed state is an entangled state;  
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### 5. No information without disturbance

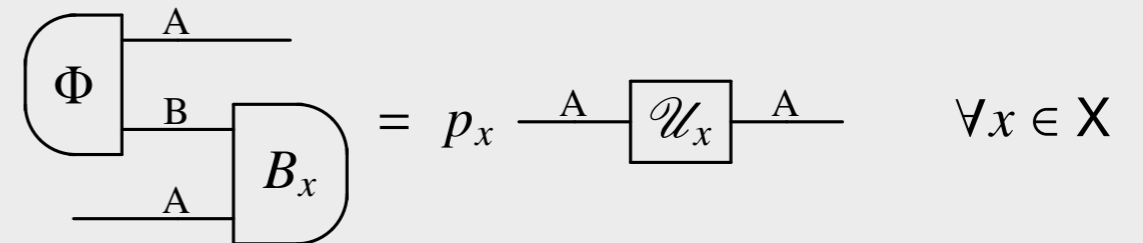
# Principles for Quantum Theory

- P1. Causality
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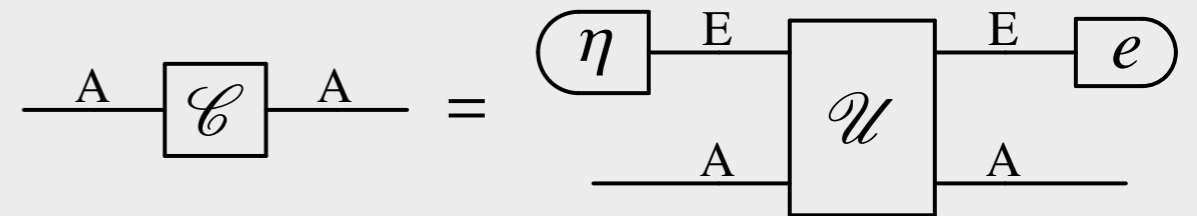
Every state has a purification. For fixed purifying system, every two purifications of the same state are connected by a reversible transformation on the purifying system

## Consequences

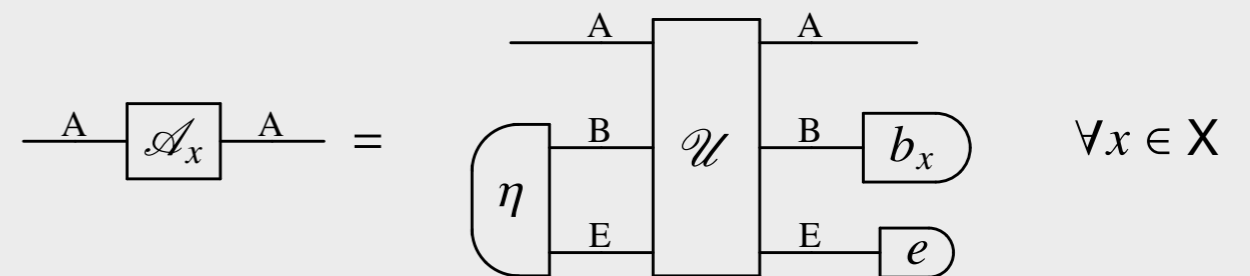
### 6. Teleportation



### 7. Reversible dilation of “channels”



### 8. Reversible dilation of “instruments”



### 9. State-transformation cone isomorphism

### 10. Rev. transform. for a system make a compact Lie group

**PRINCIPLES**

**THEORY**

**RESTRICTIONS**

**INTERPRETATION**

**MATH. FRAMEWORK**

equivalence

$A \xrightarrow{B \text{ needs } A} B$

Strict symmetric monoidal category theory

Operational Information framework

Causality

Local discriminability

Purification

Atomicity of composition

Perfect discriminability

Ideal compressibility

**Quantum Theory**

Locality

Reciprocity

Homogeneity

Isotropy

Unitarity

Quantum Cellular Automata on a Cayley graph of  $G$

Linearity

Cayley graph quasi-isometrically embeddable in Euclidean space

$G$  virtually Abelian

Quantum Walk on Cayley graph of  $G$

Quantum Walk on Cayley graph of Abelian  $G$

Relativistic limit

**Free Quantum Field Theory**

Relativity Principle without space-time

$m>0$ : deformed De Sitter

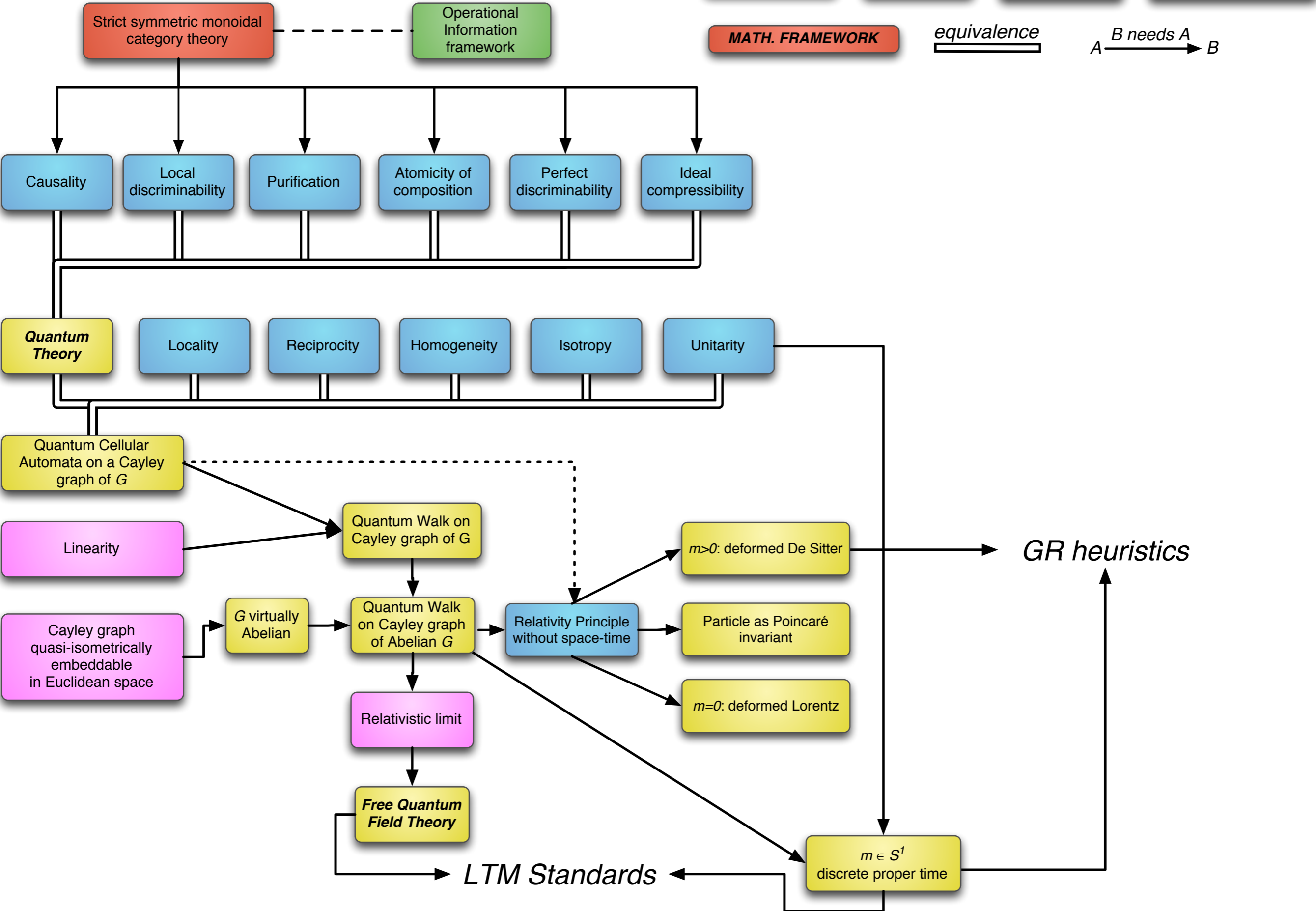
Particle as Poincaré invariant

$m=0$ : deformed Lorentz

*GR heuristics*

$m \in S^1$   
discrete proper time

*LTM Standards*



This is more or less what I wanted to say

Thank you for your attention